

Atty Docket No. 74451.1'042X2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

Application of:

Edward L. Schwartz

Application No.: 09/704,991

Filed: November 1, 2000

For: REVERSIBLE EMBEDDED WAVELET SYSTEM  
IMPLEMENTATION

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Examiner: Wu, Jingge

Art Unit: 2623

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REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

In the Filing Receipt for the above-referenced patent application filed on November 1, 2000, the filing date was recorded incorrectly as 11/02/2000

The correct filing date is **11/01/2000**

Please have the Filing Receipt changed to reflect the correct filing date. Enclosed is a copy of the Express Mail receipt from the Post Office with the date received by the Post Office stamp of November 1, 2000. Also enclosed is a copy of the incorrect Filing Receipt with the change noted thereon.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 8/26 2004

Michael J. Mallie  
Reg. No. 36,591

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408)720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 26, 2004

(Date of Deposit)

Claire Wallters

(Typed or printed name of person mailing correspondence)

Claire Wallters

(Signature of person mailing correspondence)

8/26/2004

WFO 10-10-68  
10-10-68



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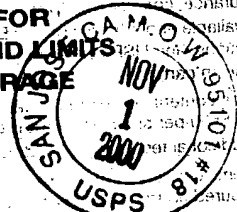
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| <b>FROM: (PLEASE PRINT)</b><br>BLAKELY SOKOLOFF<br>TAYLOR & ZAFMAN LLP<br>1279 JAKMEAD PKWY<br>SUNNYVALE CA 94086-4040<br>MJM/nzb | <b>TO: (PLEASE PRINT)</b><br>Assistant Commissioner for<br>Patents<br>Box Patent Application<br>Washington, D.C. 20231 |

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE              | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|--------------------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/704,991         | 11/02/2000<br>11/01/2000 | 2621         | 3078          | 074451.P042X2   | 46       | 91         | 15         |

M5M

CONFIRMATION NO. 7119

## UPDATED FILING RECEIPT

Michael J. Mallie  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026



Date Mailed: 08/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Edward L. Schwartz, Sunnyvale, CA;  
Ahmad Zandi, Morgan Hill, CA;  
Alexander Keith, Santa Clara, CA;  
Michael J. Gormish, Redwood City, CA;  
Martin Boliek, San Francisco, CA;

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## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 08/847,074 05/01/1997 ABN  
WHICH IS A CIP OF 08/643,268 05/03/1996 PAT 5,966,465  
WHICH IS A CIP OF 08/498,036 06/30/1995 PAT 5,867,602  
WHICH IS A CIP OF 08/310,146 09/21/1994 PAT 5,748,786

## Foreign Applications

If Required, Foreign Filing License Granted 04/18/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

ENTERED

AUG 31 2001

STATUS DB-LA

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**Title**

Reversible embedded wavelet system implementation

**Preliminary Class**

382

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**Data entry by :** LUENG, YIN

**Team :** OIPE

**Date:** 08/28/2001



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**TRANSMITTAL**

Application No.: 09/704,991  
Filing Date: November 1, 2000  
First Named Inventor: Edward L. Schwartz  
Examiner's Name: Wu, Jingge  
Art Unit: 2623  
Attorney Docket No.: 74451.P042X2

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- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

**ATTACHMENTS**

- ☐ Preliminary Amendment
- ☐ Amendment/Response with respect to Office Action
- ☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☒ Information Disclosure Statement (IDS)
- ☒ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☒ Other: Request For Correction Of Filing Receipt
- ☐ Other:
- ☒ Check(s)
- ☒ Postcard (Return Receipt)

**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP

TYPED OR PRINTED NAME: Michael J. Mallie, Reg. No. 36,591

SIGNATURE:  DATE: 8/26/04

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)**

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on

August 26, 2004

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Claire Wallters



Signature

Name of Person Mailing Correspondence

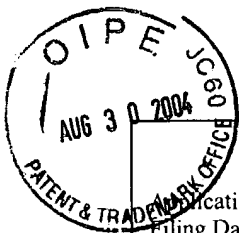
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Date

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(10/14/03)

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**TRANSMITTAL****PATENT**

Application No.: 09/704,991  
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First Named Inventor: Edward L. Schwartz  
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- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☒ Other: Request For Correction Of Filing Receipt
- ☐ Other:
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**SUBMITTED BY:**

BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP

TYPED OR PRINTED NAME: Michael J. Mallie, Reg. No. 36,591

SIGNATURE:  DATE: 8/26/04

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

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(10/14/03)

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**FEE TRANSMITTAL FOR FY 2004**

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known:

Application No. 09/704,991Filing Date November 1, 2000First Named Inventor Edward L. SchwartzExaminer Name Wu, JinggeArt Unit 2623Attorney Docket No. 74451.P042X2

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OCT 04 2004

☐ Applicant claims small entity status. See 37 CFR 1.27.

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**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:☐ Charge fee(s) indicated below.☒ Credit any overpayments.☒ Charge any additional fees during the pendency of this application.☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.☐ Charge fee(s) indicated below except for the filing fee.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity    |          | Small Entity |          | Fee Description                    | Fee Paid |
|-----------------|----------|--------------|----------|------------------------------------|----------|
| Fee Code        | Fee (\$) | Fee Code     | Fee (\$) |                                    |          |
| 1001            | 7702001  | 385          |          | Utility application filing fee     | _____    |
| 1002            | 3402002  | 170          |          | Design application filing fee      | _____    |
| 1003            | 5302003  | 265          |          | Plant filing fee                   | _____    |
| 1004            | 7702004  | 385          |          | Reissue filing fee                 | _____    |
| 1005            | 1602005  | 80           |          | Provisional application filing fee | _____    |
| SUBTOTAL (1) \$ |          |              |          |                                    | <u>0</u> |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    |       | Extra Claims   | Fee from below | Fee Paid |
|--------------------|-------|----------------|----------------|----------|
| Total Claims       | _____ | - 20** = _____ | X _____        | = _____  |
| Independent Claims | _____ | - 3** = _____  | X _____        | = _____  |
| Multiple Dependent | _____ |                | _____          | = _____  |

\*\*Or number previously paid, if greater; For Reissues, see below.

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 1202         | 182202   | 9            |          | Claims in excess of 20                                    |
| 1201         | 862201   | 43           |          | Independent claims in excess of 3                         |
| 1203         | 2902203  | 145          |          | Multiple dependent claim, if not paid                     |
| 1204         | 862204   | 43           |          | **Reissue independent claims over original patent         |
| 1205         | 182205   | 9            |          | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 0

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**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| <u>Large Entity</u> |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Code</u>         | <u>Fee (\$)</u> | <u>Code</u>         | <u>Fee (\$)</u> |  |                 |
| 1051                | 130             | 2051                | 65              | Surcharge - late filing fee or oath  |                 |
| 1052                | 50              | 2052                | 25              | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 1053                | 130             | 1053                | 130             | Non-English specification  |                 |
| 1812                | 2,520           | 1812                | 2,520           | For filing a request for ex parte reexamination                            |                 |
| 1813                | 8,800           | 1813                | 8,800           | Request for inter parties reexamination                                    |                 |
| 1804                | 920*            | 1804                | 920*            | Requesting publication of SIR prior to Examiner action                     |                 |
| 1805                | 1,840*          | 1805                | 1,840*          | Requesting publication of SIR after Examiner action                        |                 |
| 1251                | 110             | 2251                | 55              | Extension for reply within first month                                     |                 |
| 1252                | 420             | 2252                | 210             | Extension for reply within second month                                    |                 |
| 1253                | 950             | 2253                | 475             | Extension for reply within third month                                     |                 |
| 1254                | 1,480           | 2254                | 740             | Extension for reply within fourth month                                    |                 |
| 1255                | 2,010           | 2255                | 1,005           | Extension for reply within fifth month                                     |                 |
| 1401                | 330             | 2401                | 165             | Notice of Appeal   |                 |
| 1402                | 330             | 2402                | 165             | Filing a brief in support of an appeal                                     |                 |
| 1403                | 290             | 2403                | 145             | Request for oral hearing   |                 |
| 1451                | 1,510           | 1451                | 1,510           | Petition to institute a public use proceeding                              |                 |
| 1452                | 110             | 2452                | 55              | Petition to revive - unavoidable   |                 |
| 1453                | 1,330           | 2453                | 665             | Petition to revive - unintentional   |                 |
| 1501                | 1,330           | 2501                | 665             | Utility issue fee (or reissue)   |                 |
| 1502                | 480             | 2502                | 240             | Design issue fee   |                 |
| 1503                | 640             | 2503                | 320             | Plant issue fee  |                 |
| 1460                | 130             | 1460                | 130             | Petitions to the Commissioner  |                 |
| 1807                | 50              | 1807                | 50              | Processing fee under 37 CFR 1.17(q)  |                 |
| 1806                | 180             | 1806                | 180             | Submission of Information Disclosure Statement                             | 180.00          |
| 8021                | 40              | 8021                | 40              | Recording each patent assignment per property (times number of properties) |                 |
| 1809                | 770             | 2809                | 385             | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                 |
| 1814                | 110             | 2814                | 55              | Statutory Disclaimer   |                 |
| 1810                | 770             | 2810                | 385             | For each additional invention to be examined (see 37 CFR 1.129(b))         |                 |
| 1801                | 770             | 2801                | 385             | Request for Continued Examination (RCE)                                    |                 |
| 1802                | 900             | 1802                | 900             | Request for expedited examination of a design application                  |                 |
| 1504                | 300             | 1504                | 300             | Publication fee for early, voluntary, or normal pub.                       |                 |
| 1505                | 300             | 1505                | 300             | Publication fee for republication  |                 |
| 1803                | 130             | 1803                | 130             | Request for voluntary publication or republication                         |                 |
| 1808                | 130             | 1808                | 130             | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |                 |
| 1454                | 1,330           | 1454                | 1,330           | Acceptance of unintentionally delayed claim for priority                   |                 |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 180.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Michael J. Mallie

Signature: \_\_\_\_\_

Date: 5/26/07Reg. Number: 36,591Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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